



Federal Transit Administration Triennial Review

Receive and File

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Attachments: Yes

Approved by: James Corless

Referring Committee: Policy & Innovation

1. Issue:

SACOG recently completed a triennial review with the Federal Transit Administration (FTA).

2. Recommendation:

None; this item is for information only.

3. Background/Analysis:

Federal law requires that the FTA complete a review of all grant recipients every three years. As the committee charged with audits, a copy of the final report is being provided to the Policy and Innovation Committee.

4. Discussion/Analysis:

In May, SACOG staff participated in an FTA triennial review conducted by a third party. The review covers 23 areas of compliance, which is assessed through reviewing documents provided by SACOG, as well as staff responding to questions orally and in writing.

Overall, the reviewers and FTA staff commended SACOG staff for our compliance and responsiveness to their requests for information. SACOG had three areas where we are addressing deficiencies. While minor issues, SACOG takes its responsibilities for compliance seriously and has addressed all of the corrections already. The issues in summary relate to not collecting all lobbying disclosure forms from subrecipients and submitting them to FTA, not including two new contract clauses in FTA-funded contracts, and not having a written procedure for retaining copies of Americans with Disabilities Act complaints.

A full copy of the review is attached.

5. Fiscal Impact/Grant Information:

There is no fiscal impact.

6. This staff report aligns with the following SACOG Work Plan Objectives: